OIPE JC190 MAR 21 2002 PATENT & TRADEMARK OFFICE

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Attorney Docket No. ~~07480~~

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Date: 03/15/02 By: Mead J Abma

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

L. Park 5-7-02

3767 #2/~~3~~ D.S.

IN RE APPLICATION OF: FIRLIK ET AL.

APPLICATION NO.: 10/072,700

FILED: February 7, 2002

FOR: METHODS AND APPARATUS FOR EFFECTUATING A CHANGE IN A NEURAL-FUNCTION OF A PATIENT

ART UNIT: UNKNOWN

CONFIRMATION NO.: UNKNOWN

Information Disclosure Statement Within Three Months of Application Filing or Before First Action – 37 CFR 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references and related application listed on the enclosed Form PTO/SB/08A (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references and related application are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ Copies of the following references can be found in parent application Ser. No.

- ☐ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a

communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- ☐ All cited references
- ☐ References marked by ampersands
- ☐ The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,

Date: March 15, 2002

Paul T. Parker
Registration No. 38,264

Correspondence Address:
Perkins Coie LLP
Customer No. 25096
Phone: (206) 583-8888

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	6

COMPLETE IF KNOWN	
Application Number	10/072,700
Confirmation Number	Unknown
Filing Date	02/07/02
First Named Inventor	Andrew D. Firlik
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	337348020US4

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	U.S. Patent Document NUMBER	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	AB	5,215,086		Terry, Jr. et al.	06/01/93	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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COMPLETE IF KNOWN	
Application Number	10/072,700
Confirmation Number	Unknown
Filing Date	02/07/02
First Named Inventor	Andrew D. Firlik
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	337348020US4

Sheet 2 of

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EXAMINER INITIALS	Cite No.	Foreign Patent Document Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	CX	PCT	WO 98/06342		Neotonus, Inc.	02/19/98		

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EXAMINER INITIALS	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume0issue number(s), publisher, city and/or country where published.	T
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First Named Inventor	Andrew D. Firlik
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	337348020US4

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Application Number	10/072,700
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Filing Date	02/07/02
First Named Inventor	Andrew D. Firlik
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	337348020US4

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EXAMINER	DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).